

## **EXHIBIT 21**



Date Produced: 03/19/2018

WALZ GROUP:

The following is the delivery information for Certified Mail™/RRE item number 9314 8699 0430 0044 2180 45. Our records indicate that this item was delivered on 03/15/2018 at 12:16 p.m. in NEW YORK, NY 10028. The scanned image of the recipient information is provided below.

Signature of Recipient :

A handwritten signature consisting of a stylized 'C' on the left and a wavy line on the right.

Address of Recipient :

A handwritten address: '16 E 81'

Thank you for selecting the Postal Service for your mailing needs. If you require additional assistance, please contact your local post office or Postal Service representative.

Sincerely,  
United States Postal Service

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Information in this section provided by Walz Group, LLC.

**Original Recipient Information:**  
MR. RAFAEL DARIO RAMIREZ CARREÑO  
16 E 81ST ST  
NEW YORK, NY 10028-0201

MR. RAFAEL DARIO RAMIREZ CARRENO  
16 E 81ST ST  
NEW YORK, NY 10028-0201

MR. RAFAEL DARIO RAMIREZ CARRENO  
16 E 81ST ST  
NEW YORK, NY 10028-0201

Dane Ball  
700 Louisiana, Suite 2300  
Houston, TX 77002

9314 8699 0430 0044 2180 45

0.47  
3.45  
1.50  
0.00  
5.42

Dane Ball  
700 Louisiana, Suite 2300  
Houston, TX 77002

MR. RAFAEL DARIO RAMIREZ CARRENO  
16 E 81ST ST  
NEW YORK, NY 10028-0201



9314 8699 0430 0044 2180 45  
RETURN RECEIPT (ELECTRONIC)

9314 8699 0430 0044 2180 45

Please Discard

Case 4:18-cv-00483 Document 15 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

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*Plaintiff(s)*

Civil Action No. 4:18-cv-00483

v.  
Juan Jose Garcia Mendoza, et al.

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*Defendant(s)*

**SUMMONS IN A CIVIL ACTION**

To: (*Defendant's name and address*) Azure 406 LLC  
Registered Agent: Sandra Castillo Tejera  
9401 Collins Ave., Unit 406  
Surfside, Florida 33154

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

*CLERK OF COURT*

Date: \_\_\_\_\_

*Signature of Clerk or Deputy Clerk*

**HARVEST\_RDR\_000089**

Case 4:18-cv-00483 Document 15 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Azure 406 LLC  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify):* \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-00483 Document 15 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

*Plaintiff(s)*

V.

Juan Jose Garcia Mendoza, et al.

*Defendant(s)*

Civil Action No. 4:18-cv-00483

## **SUMMONS IN A CIVIL ACTION**

To: *(Defendant's name and address)* Azure 406 LLC  
Registered Agent: Sandra Castillo Tejera  
9401 Collins Ave., Unit 406  
Surfside, Florida 33154

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

DAVID J. BRADLEY

**CLERK OF COURT**

MM 639

*Signature of Clerk or Deputy Clerk*

Date: \_\_\_\_\_

Date: \_\_\_\_\_

Case 4:18-cv-00483 Document 15 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Azure 406 LLC  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_,  
a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify)*: \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

*Printed name and title*

*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-00483 Document 16 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

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*Plaintiff(s)*

Civil Action No. 4:18-cv-00483

v.  
Juan Jose Garcia Mendoza, et al.

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*Defendant(s)*

**SUMMONS IN A CIVIL ACTION**

To: (*Defendant's name and address*) Juan Jose Garcia Mendoza  
9401 Collins Ave. #605  
Surfside, FL 33154-2610

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

*CLERK OF COURT*

Date: \_\_\_\_\_

*Signature of Clerk or Deputy Clerk*

**HARVEST\_RDR\_000093**

Case 4:18-cv-00483 Document 16 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Juan Jose Garcia Mendoza  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify):* \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-00483 Document 16 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

*Plaintiff(s)*

V.

Juan Jose Garcia Mendoza, et al.

*Defendant(s)*

Civil Action No. 4:18-cv-00483

## SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* Juan Jose Garcia Mendoza  
9401 Collins Ave. #605  
Surfside, FL 33154-2610

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

DAVID J. BRADLEY

*CLERK OF COURT*

Date: FEB 23 2018

  
Signature of Clerk or Deputy Clerk

Case 4:18-cv-00483 Document 16 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Juan Jose Garcia Mendoza  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_,  
a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify)*: \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

*Server's signature*

*Printed name and title*

*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-00483 Document 17 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

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*Plaintiff(s)*

Civil Action No. 4:18-cv-00483

v.  
Juan Jose Garcia Mendoza, et al.

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*Defendant(s)*

**SUMMONS IN A CIVIL ACTION**

To: (*Defendant's name and address*) Petroconsultores (Barbados) Ltd.  
8925 Collins Ave. Unit 7C  
Surfside, Florida 33154

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

*CLERK OF COURT*

Date: \_\_\_\_\_

*Signature of Clerk or Deputy Clerk*

**HARVEST\_RDR\_000097**

Case 4:18-cv-00483 Document 17 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Petroconsultores (Barbados) Ltd.  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify):* \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-00483 Document 17 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

*Plaintiff(s)*

V.

Juan Jose Garcia Mendoza, et al.

*Defendant(s)*

Civil Action No. 4:18-cv-00483

## SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* Petroconsultores (Barbados) Ltd.  
8925 Collins Ave. Unit 7C  
Surfside, Florida 33154

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

DAVID J. BRAIN FV

CLERK OF COURT

FEb 23 2018

Date:

  
*M. Mayr*

*Signature of Clerk or Deputy Clerk*

Case 4:18-cv-00483 Document 17 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Petroconsultores (Barbados) Ltd. was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_,  
a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify):* \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

*Server's signature*

*Printed name and title*

*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-00483 Document 18 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

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*Plaintiff(s)*

Civil Action No. 4:18-cv-00483

v.  
Juan Jose Garcia Mendoza, et al.

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*Defendant(s)*

**SUMMONS IN A CIVIL ACTION**

To: (*Defendant's name and address*) Petroconsultores, Inc.  
8925 Collins Ave. Unit 7C  
Surfside, Florida 33154

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

*CLERK OF COURT*

Date: \_\_\_\_\_

*Signature of Clerk or Deputy Clerk*

**HARVEST\_RDR\_000101**

Case 4:18-cv-00483 Document 18 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Petroconsultores, Inc.  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify):* \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-0048 Document 18 Filed in TXSD on 02/12/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

*Plaintiff(s)*

V.

Juan Jose Garcia Mendoza, et al.

*Defendant(s)*

Civil Action No. 4:18-cv-00483

## SUMMONS IN A CIVIL ACTION

To: *(Defendant's name and address)* Petroconsultores, Inc.  
8925 Collins Ave. Unit 7C  
Surfside, Florida 33154

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

**DAVID J. BRADLEY**  
CLERK OF COURT

FEB 23 2018

Date:

  
Signature of Clerk or Deputy Clerk

Case 4:18-cv-0048 Document 18 Filed in TXSD on 02/08/2021 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Petroconsultores, Inc. was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_ on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_, a person of suitable age and discretion who resides there, on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_ on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify)*: \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 \_\_\_\_\_.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

*Printed name and title*

*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-00483 Document 19 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT

for the

Southern District of Texas

Harvest Natural Resources, Inc.,  
and HNR Energia B.V.

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*Plaintiff(s)*

Civil Action No. 4:18-cv-00483

v.  
Juan Jose Garcia Mendoza, et al.

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*Defendant(s)*

**SUMMONS IN A CIVIL ACTION**

To: (*Defendant's name and address*) Selle LLC

Registered agent: Worldwide Corporate Administrators LLC  
2330 Ponce De Leon Blvd  
Coral Gables, FL 33134

A lawsuit has been filed against you.

Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

*CLERK OF COURT*

Date: \_\_\_\_\_

*Signature of Clerk or Deputy Clerk*

**HARVEST\_RDR\_000105**

Case 4:18-cv-00483 Document 19 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Selle LLC  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_  
, a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify):* \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc:

Case 4:18-cv-00483 Document 19 Filed in TXSD on 02/23/18 Page 1 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc., )  
and HNR Energia B.V. )  
\_\_\_\_\_  
Plaintiff(s) )  
v. ) Civil Action No. 4:18-cv-00483  
Juan Jose Garcia Mendoza, et al. )  
\_\_\_\_\_  
Defendant(s) )

**SUMMONS IN A CIVIL ACTION**

To: (Defendant's name and address) Selle LLC  
Registered agent: Worldwide Corporate Administrators LLC  
2330 Ponce De Leon Blvd  
Coral Gables, FL 33134

A lawsuit has been filed against you.

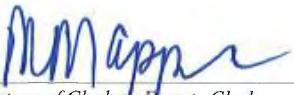
Within 21 days after service of this summons on you (not counting the day you received it) — or 60 days if you are the United States or a United States agency, or an officer or employee of the United States described in Fed. R. Civ. P. 12 (a)(2) or (3) — you must serve on the plaintiff an answer to the attached complaint or a motion under Rule 12 of the Federal Rules of Civil Procedure. The answer or motion must be served on the plaintiff or plaintiff's attorney, whose name and address are: Lee L. Kaplan, Smyser Kaplan & Veselka, LLP, 700 Louisiana, Ste. 2300, Houston, Texas 77002.

If you fail to respond, judgment by default will be entered against you for the relief demanded in the complaint. You also must file your answer or motion with the court.

**DAVID J. BRADLEY**

CLERK OF COURT

Date: FEB 23 2018

  
Signature of Clerk or Deputy Clerk

Case 4:18-cv-00483 Document 19 Filed in TXSD on 02/23/18 Page 2 of 2

AO 440 (Rev. 06/12) Summons in a Civil Action (Page 2)

Civil Action No. 4:18-cv-00483

**PROOF OF SERVICE**

*(This section should not be filed with the court unless required by Fed. R. Civ. P. 4 (l))*

This summons for *(name of individual and title, if any)* Selle LLC  
was received by me on *(date)* \_\_\_\_\_.

I personally served the summons on the individual at *(place)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I left the summons at the individual's residence or usual place of abode with *(name)* \_\_\_\_\_,  
a person of suitable age and discretion who resides there,  
on *(date)* \_\_\_\_\_, and mailed a copy to the individual's last known address; or

I served the summons on *(name of individual)* \_\_\_\_\_, who is  
designated by law to accept service of process on behalf of *(name of organization)* \_\_\_\_\_  
on *(date)* \_\_\_\_\_; or

I returned the summons unexecuted because \_\_\_\_\_; or

Other *(specify)*: \_\_\_\_\_

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00.

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_ *Server's signature*

*Printed name and title*

*Server's address*

Additional information regarding attempted service, etc:

**This is an automatic e-mail message generated by the CM/ECF system. Please DO NOT RESPOND to this e-mail because the mail box is unattended.**

**\*\*\*NOTE TO PUBLIC ACCESS USERS\*\*\*** Judicial Conference of the United States policy permits attorneys of record and parties in a case (including pro se litigants) to receive one free electronic copy of all documents filed electronically, if receipt is required by law or directed by the filer. PACER access fees apply to all other users. To avoid later charges, download a copy of each document during this first viewing. However, if the referenced document is a transcript, the free copy and 30 page limit do not apply.

**U.S. District Court**

**SOUTHERN DISTRICT OF TEXAS**

**Notice of Electronic Filing**

The following transaction was entered on 2/23/2018 at 11:32 AM CST and filed on 2/23/2018

**Case Name:** Harvest Natural Resources, Inc. et al v. Mendoza Garcia et al

**Case Number:** [4:18-cv-00483](#)

**Filer:**

**Document Number:** No document attached

**Docket Text:**

**Summons Issued as to Azure 406 LLC, Juan Jose Mendoza Garcia, Petro Consultores S.C., Petroconsultores (Barbados) Ltd., Selle LLC. Issued summons delivered to plaintiff in person, filed.(mmapps, 4)**

**4:18-cv-00483 Notice has been electronically mailed to:**

Lee L Kaplan lkaplan@skv.com, madams@skv.com, mary-adams-1752@ecf.pacerpro.com

**4:18-cv-00483 Notice has not been electronically mailed to:**

**This is an automatic e-mail message generated by the CM/ECF system. Please DO NOT RESPOND to this e-mail because the mail box is unattended.**

**\*\*\*NOTE TO PUBLIC ACCESS USERS\*\*\*** Judicial Conference of the United States policy permits attorneys of record and parties in a case (including pro se litigants) to receive one free electronic copy of all documents filed electronically, if receipt is required by law or directed by the filer. PACER access fees apply to all other users. To avoid later charges, download a copy of each document during this first viewing. However, if the referenced document is a transcript, the free copy and 30 page limit do not apply.

**U.S. District Court**

**SOUTHERN DISTRICT OF TEXAS**

**Notice of Electronic Filing**

The following transaction was entered on 2/26/2018 at 11:50 AM CST and filed on 2/26/2018

**Case Name:** Harvest Natural Resources, Inc. et al v. Mendoza Garcia et al

**Case Number:** [4:18-cv-00483](#)

**Filer:**

**Document Number:** No document attached

**Docket Text:**

**Summons Issued as to Azure 406 LLC, Juan Jose Mendoza Garcia, Petroconsultores (Barbados) Ltd., Petroconsultores, Inc., Selle LLC. Issued summons delivered to plaintiff by First-class mail, filed.(hler, 4)**

**4:18-cv-00483 Notice has been electronically mailed to:**

Lee L Kaplan lkaplan@skv.com, madams@skv.com, mary-adams-1752@ecf.pacerpro.com

**4:18-cv-00483 Notice has not been electronically mailed to:**

Case 4:18-cv-00483 Document 20 Filed in TXSD on 02/28/18 Page 1 of 6

AO 399 (01/09) Waiver of the Service of Summons

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc., et al.	)	
Plaintiff	)	
v.	)	Civil Action No. 4:18-00483
Juan Jose Mendoza Garcia, et al.	)	
Defendant	)	

**WAIVER OF THE SERVICE OF SUMMONS**

To: Lee L. Kaplan  
(Name of the plaintiff's attorney or unrepresented plaintiff)

I have received your request to waive service of a summons in this action along with a copy of the complaint, two copies of this waiver form, and a prepaid means of returning one signed copy of the form to you.

I, or the entity I represent, agree to save the expense of serving a summons and complaint in this case.

I understand that I, or the entity I represent, will keep all defenses or objections to the lawsuit, the court's jurisdiction, and the venue of the action, but that I waive any objections to the absence of a summons or of service.

I also understand that I, or the entity I represent, must file and serve an answer or a motion under Rule 12 within 60 days from 02/27/2018, the date when this request was sent (or 90 days if it was sent outside the United States). If I fail to do so, a default judgment will be entered against me or the entity I represent.

Date: 2/28/18



Signature of the attorney or unrepresented party

Petroconsultores (Barbados) Ltd.  
Printed name of party waiving service of summons

Kip Mendrygal  
Printed name  
Locke Lord LLP  
2200 Ross Avenue, Suite 2800  
Dallas, Texas 75201

Address

kmendrygal@lockelord.com  
E-mail address

(214) 740-8106  
Telephone number

**Duty to Avoid Unnecessary Expenses of Serving a Summons**

Rule 4 of the Federal Rules of Civil Procedure requires certain defendants to cooperate in saving unnecessary expenses of serving a summons and complaint. A defendant who is located in the United States and who fails to return a signed waiver of service requested by a plaintiff located in the United States will be required to pay the expenses of service, unless the defendant shows good cause for the failure.

"Good cause" does *not* include a belief that the lawsuit is groundless, or that it has been brought in an improper venue, or that the court has no jurisdiction over this matter or over the defendant or the defendant's property.

If the waiver is signed and returned, you can still make these and all other defenses and objections, but you cannot object to the absence of a summons or of service.

If you waive service, then you must, within the time specified on the waiver form, serve an answer or a motion under Rule 12 on the plaintiff and file a copy with the court. By signing and returning the waiver form, you are allowed more time to respond than if a summons had been served.

Case 4:18-cv-00483 Document 20 Filed in TXSD on 02/28/18 Page 2 of 6

AO 399 (01/09) Waiver of the Service of Summons

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc., et al. )  
Plaintiff )  
v. ) Civil Action No. 4:18-00483  
Juan Jose Mendoza Garcia, et al. )  
Defendant )

**WAIVER OF THE SERVICE OF SUMMONS**

To: Lee L. Kaplan  
(Name of the plaintiff's attorney or unrepresented plaintiff)

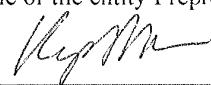
I have received your request to waive service of a summons in this action along with a copy of the complaint, two copies of this waiver form, and a prepaid means of returning one signed copy of the form to you.

I, or the entity I represent, agree to save the expense of serving a summons and complaint in this case.

I understand that I, or the entity I represent, will keep all defenses or objections to the lawsuit, the court's jurisdiction, and the venue of the action, but that I waive any objections to the absence of a summons or of service.

I also understand that I, or the entity I represent, must file and serve an answer or a motion under Rule 12 within 60 days from 02/27/2018, the date when this request was sent (or 90 days if it was sent outside the United States). If I fail to do so, a default judgment will be entered against me or the entity I represent.

Date: 2/28/18



Signature of the attorney or unrepresented party

Petro Consultores S.C.  
Printed name of party waiving service of summons

Kip Mendrygal

Printed name

Locke Lord LLP  
2200 Ross Avenue, Suite 2800  
Dallas, Texas 75201

Address

kmendrygal@lockelord.com

E-mail address

(214) 740-8106

Telephone number

**Duty to Avoid Unnecessary Expenses of Serving a Summons**

Rule 4 of the Federal Rules of Civil Procedure requires certain defendants to cooperate in saving unnecessary expenses of serving a summons and complaint. A defendant who is located in the United States and who fails to return a signed waiver of service requested by a plaintiff located in the United States will be required to pay the expenses of service, unless the defendant shows good cause for the failure.

"Good cause" does *not* include a belief that the lawsuit is groundless, or that it has been brought in an improper venue, or that the court has no jurisdiction over this matter or over the defendant or the defendant's property.

If the waiver is signed and returned, you can still make these and all other defenses and objections, but you cannot object to the absence of a summons or of service.

If you waive service, then you must, within the time specified on the waiver form, serve an answer or a motion under Rule 12 on the plaintiff and file a copy with the court. By signing and returning the waiver form, you are allowed more time to respond than if a summons had been served.

AO 399 (01/09) Waiver of the Service of Summons

**UNITED STATES DISTRICT COURT**  
 for the  
 Southern District of Texas

Harvest Natural Resources, Inc., et al.	)	
Plaintiff	)	
v.	)	Civil Action No. 4:18-00483
Juan Jose Mendoza Garcia, et al.	)	
Defendant	)	

**WAIVER OF THE SERVICE OF SUMMONS**

To: Lee L. Kaplan  
*(Name of the plaintiff's attorney or unrepresented plaintiff)*

I have received your request to waive service of a summons in this action along with a copy of the complaint, two copies of this waiver form, and a prepaid means of returning one signed copy of the form to you.

I, or the entity I represent, agree to save the expense of serving a summons and complaint in this case.

I understand that I, or the entity I represent, will keep all defenses or objections to the lawsuit, the court's jurisdiction, and the venue of the action, but that I waive any objections to the absence of a summons or of service.

I also understand that I, or the entity I represent, must file and serve an answer or a motion under Rule 12 within 60 days from 02/27/2018, the date when this request was sent (or 90 days if it was sent outside the United States). If I fail to do so, a default judgment will be entered against me or the entity I represent.

Date: 2/28/18



*Signature of the attorney or unrepresented party*

Petro Consultores International Trading Co., Inc.  
*Printed name of party waiving service of summons*

Kip Mendrygal

*Printed name*

Locke Lord LLP  
 2200 Ross Avenue, Suite 2800  
 Dallas, Texas 75201

*Address*

kmendrygal@lockelord.com

*E-mail address*

(214) 740-8106

*Telephone number*

---

**Duty to Avoid Unnecessary Expenses of Serving a Summons**

Rule 4 of the Federal Rules of Civil Procedure requires certain defendants to cooperate in saving unnecessary expenses of serving a summons and complaint. A defendant who is located in the United States and who fails to return a signed waiver of service requested by a plaintiff located in the United States will be required to pay the expenses of service, unless the defendant shows good cause for the failure.

"Good cause" does *not* include a belief that the lawsuit is groundless, or that it has been brought in an improper venue, or that the court has no jurisdiction over this matter or over the defendant or the defendant's property.

If the waiver is signed and returned, you can still make these and all other defenses and objections, but you cannot object to the absence of a summons or of service.

If you waive service, then you must, within the time specified on the waiver form, serve an answer or a motion under Rule 12 on the plaintiff and file a copy with the court. By signing and returning the waiver form, you are allowed more time to respond than if a summons had been served.

## Case 4:18-cv-00483 Document 20 Filed in TXSD on 02/28/18 Page 4 of 6

AO 399 (01/09) Waiver of the Service of Summons

**UNITED STATES DISTRICT COURT**  
 for the  
 Southern District of Texas

Harvest Natural Resources, Inc., et al.	)	
Plaintiff	)	
v.	)	Civil Action No. 4:18-00483
Juan Jose Mendoza Garcia , et al.	)	
Defendant	)	

**WAIVER OF THE SERVICE OF SUMMONS**

To: Lee L. Kaplan  
*(Name of the plaintiff's attorney or unrepresented plaintiff)*

I have received your request to waive service of a summons in this action along with a copy of the complaint, two copies of this waiver form, and a prepaid means of returning one signed copy of the form to you.

I, or the entity I represent, agree to save the expense of serving a summons and complaint in this case.

I understand that I, or the entity I represent, will keep all defenses or objections to the lawsuit, the court's jurisdiction, and the venue of the action, but that I waive any objections to the absence of a summons or of service.

I also understand that I, or the entity I represent, must file and serve an answer or a motion under Rule 12 within 60 days from 02/27/2018, the date when this request was sent (or 90 days if it was sent outside the United States). If I fail to do so, a default judgment will be entered against me or the entity I represent.

Date: 2/28/18

Juan Jose Garcia Mendoza  
*Printed name of party waiving service of summons*



*Signature of the attorney or unrepresented party*

Kip Mendrygal

*Printed name*

Locke Lord LLP  
 2200 Ross Avenue, Suite 2800  
 Dallas, Texas 75201

*Address*

kmendrygal@lockelord.com

*E-mail address*

(214) 740-8106

*Telephone number*

**Duty to Avoid Unnecessary Expenses of Serving a Summons**

Rule 4 of the Federal Rules of Civil Procedure requires certain defendants to cooperate in saving unnecessary expenses of serving a summons and complaint. A defendant who is located in the United States and who fails to return a signed waiver of service requested by a plaintiff located in the United States will be required to pay the expenses of service, unless the defendant shows good cause for the failure.

"Good cause" does *not* include a belief that the lawsuit is groundless, or that it has been brought in an improper venue, or that the court has no jurisdiction over this matter or over the defendant or the defendant's property.

If the waiver is signed and returned, you can still make these and all other defenses and objections, but you cannot object to the absence of a summons or of service.

If you waive service, then you must, within the time specified on the waiver form, serve an answer or a motion under Rule 12 on the plaintiff and file a copy with the court. By signing and returning the waiver form, you are allowed more time to respond than if a summons had been served.

Case 4:18-cv-00483 Document 20 Filed in TXSD on 02/28/18 Page 5 of 6

AO 399 (01/09) Waiver of the Service of Summons

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc., et al. )  
Plaintiff )  
v. ) Civil Action No. 4:18-00483  
Juan Jose Mendoza Garcia, et al. )  
Defendant )

WAIVER OF THE SERVICE OF SUMMONS

To: Lee L. Kaplan  
(Name of the plaintiff's attorney or unrepresented plaintiff)

I have received your request to waive service of a summons in this action along with a copy of the complaint, two copies of this waiver form, and a prepaid means of returning one signed copy of the form to you.

I, or the entity I represent, agree to save the expense of serving a summons and complaint in this case.

I understand that I, or the entity I represent, will keep all defenses or objections to the lawsuit, the court's jurisdiction, and the venue of the action, but that I waive any objections to the absence of a summons or of service.

I also understand that I, or the entity I represent, must file and serve an answer or a motion under Rule 12 within 60 days from 02/27/2018, the date when this request was sent (or 90 days if it was sent outside the United States). If I fail to do so, a default judgment will be entered against me or the entity I represent.

Date: 2/28/18



Signature of the attorney or unrepresented party

Azure 904, LLC  
Printed name of party waiving service of summons

Kip Mendrygal

Printed name

Locke Lord LLP  
2200 Ross Avenue, Suite 2800  
Dallas, Texas 75201

Address

kmendrygal@lockelord.com  
E-mail address

(214) 740-8106  
Telephone number

Duty to Avoid Unnecessary Expenses of Serving a Summons

Rule 4 of the Federal Rules of Civil Procedure requires certain defendants to cooperate in saving unnecessary expenses of serving a summons and complaint. A defendant who is located in the United States and who fails to return a signed waiver of service requested by a plaintiff located in the United States will be required to pay the expenses of service, unless the defendant shows good cause for the failure.

"Good cause" does *not* include a belief that the lawsuit is groundless, or that it has been brought in an improper venue, or that the court has no jurisdiction over this matter or over the defendant or the defendant's property.

If the waiver is signed and returned, you can still make these and all other defenses and objections, but you cannot object to the absence of a summons or of service.

If you waive service, then you must, within the time specified on the waiver form, serve an answer or a motion under Rule 12 on the plaintiff and file a copy with the court. By signing and returning the waiver form, you are allowed more time to respond than if a summons had been served.

Case 4:18-cv-00483 Document 20 Filed in TXSD on 02/28/18 Page 6 of 6

AO 399 (01/09) Waiver of the Service of Summons

UNITED STATES DISTRICT COURT  
for the  
Southern District of Texas

Harvest Natural Resources, Inc., et al.

Plaintiff

v.

Juan Jose Mendoza Garcia, et al.

Defendant

Civil Action No. 4:18-00483

**WAIVER OF THE SERVICE OF SUMMONS**

To: Lee L. Kaplan

*(Name of the plaintiff's attorney or unrepresented plaintiff)*

I have received your request to waive service of a summons in this action along with a copy of the complaint, two copies of this waiver form, and a prepaid means of returning one signed copy of the form to you.

I, or the entity I represent, agree to save the expense of serving a summons and complaint in this case.

I understand that I, or the entity I represent, will keep all defenses or objections to the lawsuit, the court's jurisdiction, and the venue of the action, but that I waive any objections to the absence of a summons or of service.

I also understand that I, or the entity I represent, must file and serve an answer or a motion under Rule 12 within 60 days from 02/27/2018, the date when this request was sent (or 90 days if it was sent outside the United States). If I fail to do so, a default judgment will be entered against me or the entity I represent.

Date: 2/28/18



*Signature of the attorney or unrepresented party*

Petroconsultores, Inc.  
*Printed name of party waiving service of summons*

Kip Mendrygal

*Printed name*

Locke Lord LLP  
2200 Ross Avenue, Suite 2800  
Dallas, Texas 75201

*Address*

[kmendrygal@lockelord.com](mailto:kmendrygal@lockelord.com)

*E-mail address*

(214) 740-8106

*Telephone number*

**Duty to Avoid Unnecessary Expenses of Serving a Summons**

Rule 4 of the Federal Rules of Civil Procedure requires certain defendants to cooperate in saving unnecessary expenses of serving a summons and complaint. A defendant who is located in the United States and who fails to return a signed waiver of service requested by a plaintiff located in the United States will be required to pay the expenses of service, unless the defendant shows good cause for the failure.

"Good cause" does *not* include a belief that the lawsuit is groundless, or that it has been brought in an improper venue, or that the court has no jurisdiction over this matter or over the defendant or the defendant's property.

If the waiver is signed and returned, you can still make these and all other defenses and objections, but you cannot object to the absence of a summons or of service.

If you waive service, then you must, within the time specified on the waiver form, serve an answer or a motion under Rule 12 on the plaintiff and file a copy with the court. By signing and returning the waiver form, you are allowed more time to respond than if a summons had been served.

**IN THE UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION**

**NOTICE OF APPEARANCE**

Defendants, Juan Jose Garcia Mendoza, Azure 904, LLC, Petroconsultores, Inc., Petroconsultores (Barbados) Ltd., Petro Consultores S.C., and Petro Consultores International Trading Co, Inc. (hereinafter “Defendants”), hereby notify the Court and all parties of record that **Paul E. Coggins** of Locke Lord LLP, 2200 Ross Avenue, Suite 2800, Dallas, Texas 75201, is appearing on behalf of the Defendants in the above referenced matter. Copies of all communications and other documents filed in the above-referenced proceedings should be directed to the counsel below.

Respectfully submitted,

**LOCKE LORD LLP**

By: */s/ Paul E. Coggins*

Paul E. Coggins (attorney-in-charge)

pcoggins@lockelord.com

Texas Bar No. 04500700

Kip Mendrygal

kmendrygal@lockelord.com

Texas Bar No. 24041472

2200 Ross Avenue, Suite 2800

Dallas, TX 75201

Telephone (214) 740-8000

Facsimile (214) 740-8800

Counsel for Defendants

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the foregoing document was served upon all counsel of record via the Court's electronic filing service on this 28th day of February, 2018.

*/s/ Paul E. Coggins*

Counsel for Defendants

**IN THE UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION**

**NOTICE OF APPEARANCE**

Defendants, Juan Jose Garcia Mendoza, Azure 904, LLC, Petroconsultores, Inc., Petroconsultores (Barbados) Ltd., Petro Consultores S.C., and Petro Consultores International Trading Co, Inc. (hereinafter “Defendants”), hereby notify the Court and all parties of record that **Kip Mendrygal** of Locke Lord LLP, 2200 Ross Avenue, Suite 2800, Dallas, Texas 75201, is appearing on behalf of the Defendants in the above referenced matter. Copies of all communications and other documents filed in the above-referenced proceedings should be directed to the counsel below.

Respectfully submitted,

**LOCKE LORD LLP**

By: /s/ Kip Mendrygal

Paul E. Coggins (attorney-in-charge)

pcoggins@lockelord.com

Texas Bar No. 04500700

Kip Mendrygal

kmendrygal@lockelord.com

Texas Bar No. 24041472

2200 Ross Avenue, Suite 2800

Dallas, TX 75201

Telephone (214) 740-8000

Facsimile (214) 740-8800

Counsel for Defendants

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the foregoing document was served upon all counsel of record via the Court's electronic filing service on this 28th day of February, 2018.

/s/ Kip Mendrygal

Counsel for Defendants

**IN THE UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION**

**DEFENDANTS' CORPORATE DISCLOSURE STATEMENT AND  
CERTIFICATE OF INTERESTED PARTIES**

Pursuant to Federal Rule 7.1, and this Court’s Order dated February 20, 2018 (DKT # 08), Defendants, Juan Jose Garcia Mendoza, Azure 904, LLC, Petroconsultores, Inc., Petroconsultores (Barbados) Ltd., Petro Consultores S.C., and Petro Consultores International Trading Co, Inc., (collectively “Defendants”) hereby disclose the following:

Azure 904, LLC is a Florida limited liability company. No publicly held corporation owns a ten percent or greater share of Azure 904, LLC. Azure 904, LLC does not have any parent companies.

Case 4:18-cv-00483 Document 23 Filed in TXSD on 02/28/18 Page 2 of 3

Petroconsultores, Inc. is an Anguilla British Virgin Islands company, with no headquarters. No publicly held corporation owns a ten percent or greater share of Petroconsultores, Inc. Petroconsultores, Inc. does not have any parent companies.

Petroconsultores (Barbados) Ltd. is a Barbados company, with no headquarters. No publicly held corporation owns a ten percent or greater share of Petroconsultores (Barbados) Ltd.. Petroconsultores (Barbados) Ltd. does not have any parent companies.

Petro Consultores S.C. is a Venezuelan company headquartered in Venezuela. No publicly held corporation owns a ten percent or greater share of Petro Consultores S.C. Petro Consultores S.C. does not have any parent companies.

Petro Consultores International Trading Co, Inc. is a Panamanian company, with no headquarters. No publicly held corporation owns a ten percent or greater share of Petro Consultores International Trading Co, Inc. Petro Consultores International Trading Co, Inc. does not have any parent companies.

Defendants certify that, as of this date, other than the above-identified entities, there are no other known entities that are not a party to this case that (i) have a financial interest in the subject matter in controversy or in a party to the proceeding, or (ii) have a non-financial interest in that subject matter or in a party that could be substantially affected by the outcome of this proceeding.

Respectfully submitted,

**LOCKE LORD LLP**

By: /s/ Kip Mendrygal

Paul E. Coggins (attorney-in-charge)

pcoggins@lockelord.com

Texas Bar No. 04500700

Kip Mendrygal

kmendrygal@lockelord.com

Texas Bar No. 24041472

2200 Ross Avenue, Suite 2800

Dallas, TX 75201

Telephone (214) 740-8000

Facsimile (214) 740-8800

Counsel for Defendants

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that a true and correct copy of the foregoing document was served upon all counsel of record via the Court's electronic filing service on this 28th day of February, 2018.

/s/ Kip Mendrygal

Counsel for Defendants

Case 4:18-cv-00483 Document 24 Filed in TXSD on 03/01/18 Page 1 of 1

**RETURN OF SERVICE**

UNITED STATES DISTRICT COURT  
SOUTHERN District of TEXAS

Case Number: 18-483

Plaintiff:

**HARVEST NATURAL RESOURCES, INC., AND HNR ENERGIA B.V.**

vs.

Defendant:

**JUAN JOSE MENDOZA GARCIA, ET AL.**

For:

Smyser Kaplan & Veselka, L.L.P.

700 Louisiana Street

Suite 2300

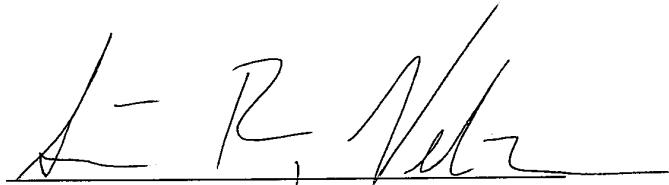
Houston, TX 77002

Received by GORMAN PROCESS SERVICE, LLC on the **23rd day of February, 2018 at 1:30 pm** to be served on **AZURE 406 LLC, 9401 COLLINS AVENUE, UNIT 406, SURFSIDE, FL 33154**.

I, SIMON R. VELA, do hereby affirm that on the **23rd day of February, 2018 at 4:45 pm**, I:

served a **CORPORATION** by delivering a true copy of the **SUMMONS/FIRST AMENDED COMPLAINT/ORDER FOR CONFERENCE AND DISCLOSURE OF INTERESTED PARTIES** with the date and hour of service endorsed thereon by me, to: **SANDRA CASTILLO TEJERA** as **Registered Agent** at the address of: **9401 COLLINS AVENUE, UNIT 406, SURFSIDE, FL 33154** on behalf of **AZURE 406 LLC**, and informed said person of the contents therein, in compliance with state statutes.

I do hereby certify that I have no interest in the above action, that I am over the age of eighteen, and that I am a Certified Process Server in the circuit in which it was served. Under penalty of perjury, I declare that I have read the foregoing Verified Return of Service and that the facts stated in it are true. I am in good standing in the circuit in which document was served. PURSUANT TO F.S. 92.525(2), NOTARY NOT REQUIRED



**SIMON R. VELA**  
CPS #2343

**GORMAN PROCESS SERVICE, LLC**  
11767 South Dixie Highway  
Suite 201  
Miami, FL 33156  
(305) 971-9636  
Our Job Serial Number: ARG-2018000918

Case 4:18-cv-00483 Document 25 Filed in TXSD on 03/01/18 Page 1 of 1

**RETURN OF SERVICE**

UNITED STATES DISTRICT COURT  
SOUTHERN District of TEXAS

Case Number: 18-483

Plaintiff:

**HARVEST NATURAL RESOURCES, INC., AND HNR ENERGIA B.V.**

vs.

Defendant:

**JUAN JOSE MENDOZA GARCIA, ET AL.**

For:

Smyser Kaplan & Veselka, L.L.P.

700 Louisiana Street

Suite 2300

Houston, TX 77002

Received by GORMAN PROCESS SERVICE, LLC on the **23rd day of February, 2018 at 1:30 pm** to be served on **AZURE 406 LLC, 9401 COLLINS AVENUE, UNIT 406, SURFSIDE, FL 33154**.

I, SIMON R. VELA, do hereby affirm that on the **23rd day of February, 2018 at 4:45 pm**, I:

served a **CORPORATION** by delivering a true copy of the **SUMMONS/FIRST AMENDED COMPLAINT/ORDER FOR CONFERENCE AND DISCLOSURE OF INTERESTED PARTIES** with the date and hour of service endorsed thereon by me, to: **SANDRA CASTILLO TEJERA** as **Registered Agent** at the address of: **9401 COLLINS AVENUE, UNIT 406, SURFSIDE, FL 33154** on behalf of **AZURE 406 LLC**, and informed said person of the contents therein, in compliance with state statutes.

I do hereby certify that I have no interest in the above action, that I am over the age of eighteen, and that I am a Certified Process Server in the circuit in which it was served. Under penalty of perjury, I declare that I have read the foregoing Verified Return of Service and that the facts stated in it are true. I am in good standing in the circuit in which document was served. PURSUANT TO F.S. 92.525(2), NOTARY NOT REQUIRED



**SIMON R. VELA**  
CPS #2343

**GORMAN PROCESS SERVICE, LLC**  
11767 South Dixie Highway  
Suite 201  
Miami, FL 33156  
(305) 971-9636  
Our Job Serial Number: ARG-2018000918

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Case 4:18-cv-00483 Document 26 Filed in TXSD on 03/01/18 Page 1 of 2

**RETURN OF SERVICE**

UNITED STATES DISTRICT COURT  
SOUTHERN District of TEXAS

Case Number: 18-483

Plaintiff:  
**HARVEST NATURAL RESOURCES, INC., AND HNR ENERGIA B.V.**

vs.

Defendant:  
**JUAN JOSE MENDOZA GARCIA, ET AL.**

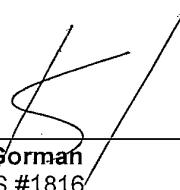
For:  
Smyser Kaplan & Veselka, L.L.P.  
700 Louisiana Street  
Suite 2300  
Houston, TX 77002

Received by GORMAN PROCESS SERVICE, LLC on the **23rd day of February, 2018** at **1:00 pm** to be served on **SELLE LLC C/O REGISTERED AGENT: WORLDWIDE CORPORATE ADMINISTRATORS LLC, 2330 PONCE DE LEON BLVD, CORAL GABLES, FL 33134**.

I, Scott Gorman, do hereby affirm that on the **23rd day of February, 2018** at **3:45 pm**, I:

SERVED the within name corporation by delivering a true copy of the **SUMMONS/FIRST AMENDED COMPLAINT** at the address of **2330 PONCE DE LEON BLVD, CORAL GABLES, FL 33134** with date and hour endorsed thereon by me to, **JANICE CAYON, RECEPTIONIST** as an employee of the Registered Agent listed with the Florida Division of Corporations, pursuant to F.S. 48.081 (3)(a).

I do hereby certify that I have no interest in the above action, that I am over the age of eighteen, and that I am a Certified Process Server in the circuit in which it was served. Under penalty of perjury, I declare that I have read the foregoing Verified Return of Service and that the facts stated in it are true. I am in good standing in the circuit in which document was served. PURSUANT TO F.S. 92.525(2), NOTARY NOT REQUIRED



\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
**Scott Gorman**  
MDCPS #1816

**GORMAN PROCESS SERVICE, LLC**  
11767 South Dixie Highway  
Suite 201  
Miami, FL 33156  
(305) 971-9636  
Our Job Serial Number: ARG-2018000931

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Case 4:18-cv-00483 Document 26 Filed in TXSD on 03/01/18 Page 2 of 2

**RETURN OF SERVICE**

UNITED STATES DISTRICT COURT  
SOUTHERN District of TEXAS

Case Number: 18-483

Plaintiff:

**HARVEST NATURAL RESOURCES, INC., AND HNR ENERGIA B.V.**

vs.

Defendant:

**JUAN JOSE MENDOZA GARCIA, ET AL.**

For:

Smyser Kaplan & Veselka, L.L.P.

700 Louisiana Street

Suite 2300

Houston, TX 77002

Received by GORMAN PROCESS SERVICE, LLC on the **23rd day of February, 2018 at 3:55 pm** to be served on **SELLA LLC C/O REGISTERED AGENT: WORLDWIDE CORPORATE ADMINISTRATORS LLC, 2330 PONCE DE LEON BLVD, CORAL GABLES, FL 33134**.

I, Jorge Diaz, do hereby affirm that on the **26th day of February, 2018 at 3:40 pm**, I:

SERVED the within name corporation by delivering a true copy of the **ORDER FOR CONFERENCE AND DISCLOSURE OF INTERESTED PARTIES** at the address of **2330 PONCE DE LEON BLVD, CORAL GABLES, FL 33134** with date and hour endorsed thereon by me to, **RAPHAELA DE CARVALHO, ENTITY MANAGEMENT SPECIALIST** as an employee of the Registered Agent listed with the Florida Division of Corporations, pursuant to F.S. 48.081 (3)(a).

I am over the age of eighteen, and have no interest in the above action. Under penalty of perjury, I declare that I have read the foregoing verified return of service and that the facts stated in it are true.



Jorge Diaz  
Process Server

GORMAN PROCESS SERVICE, LLC  
11767 South Dixie Highway  
Suite 201  
Miami, FL 33156  
(305) 971-9636  
Our Job Serial Number: ARG-2018000932

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Case 4:18-cv-00483 Document 27 Filed in TXSD on 03/01/18 Page 1 of 1

**RETURN OF SERVICE**

UNITED STATES DISTRICT COURT  
SOUTHERN District of TEXAS

Case Number: 18-483

Plaintiff:

**HARVEST NATURAL RESOURCES, INC., AND HNR ENERGIA B.V.**

vs.

Defendant:

**JUAN JOSE MENDOZA GARCIA, ET AL.**

For:

Smyser Kaplan & Veselka, L.L.P.

700 Louisiana Street

Suite 2300

Houston, TX 77002

Received by GORMAN PROCESS SERVICE, LLC on the **23rd day of February, 2018 at 3:55 pm** to be served on **SELLER LLC C/O REGISTERED AGENT: WORLDWIDE CORPORATE ADMINISTRATORS LLC, 2330 PONCE DE LEON BLVD, CORAL GABLES, FL 33134**.

I, Jorge Diaz, do hereby affirm that on the **26th day of February, 2018 at 3:40 pm**, I:

SERVED the within name corporation by delivering a true copy of the **ORDER FOR CONFERENCE AND DISCLOSURE OF INTERESTED PARTIES** at the address of **2330 PONCE DE LEON BLVD, CORAL GABLES, FL 33134** with date and hour endorsed thereon by me to, **RAPHAELLA DE CARVALHO, ENTITY MANAGEMENT SPECIALIST** as an employee of the Registered Agent listed with the Florida Division of Corporations, pursuant to F.S. 48.081 (3)(a).

I am over the age of eighteen, and have no interest in the above action. Under penalty of perjury, I declare that I have read the foregoing verified return of service and that the facts stated in it are true.



Jorge Diaz  
Process Server

GORMAN PROCESS SERVICE, LLC  
11767 South Dixie Highway  
Suite 201  
Miami, FL 33156  
(305) 971-9636  
Our Job Serial Number: ARG-2018000932

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

HARVEST NATURAL RESOURCES, INC., §  
and HNR ENERGIA B.V. §

v. § CIVIL ACTION: 4:18-cv-00483  
JUAN JOSE MENDOZA GARCIA, *et al.* §  
Defendants. §

**PLAINTIFFS' CERTIFICATE OF PERSONS FINANCIALLY INTERESTED IN  
OUTCOME OF LITIGATION**

By and through its undersigned counsel, Plaintiffs Harvest Natural Resources, Inc. and HNR Energia B.V. hereby file this certificate, pursuant to the Court's order, "listing all person[s], associations of person, firms, partnerships, corporations, affiliates, parent corporations, or other entities that are financially interested in the outcome of this litigation." Dkt. 8, ¶ 2. Groups that "can be specified by a general description" are so identified, without listing all the individuals within said group. *See id.*

1. Harvest Natural Resources, Inc.<sup>1</sup>
2. HNR Energia B.V.
3. All current board members of Harvest Natural Resources, Inc. and HNR Energia B.V.
4. Smyser Kaplan & Veselka LLP
5. All named defendants and their counsel

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<sup>1</sup> Harvest Natural Resources, Inc. previously was listed on the New York Stock Exchange. On May 4, 2017, the entity dissolved, and the listing of its common stock on the NYSE was terminated.

Respectfully Submitted,

**SMYSER KAPLAN & VESELKA, L.L.P.**

/s/ Lee Kaplan

Lee L. Kaplan  
Attorney-in-Charge  
Federal Bar No. 1840  
State Bar No. 11094400  
Dane Ball  
Federal Bar No. 784400  
State Bar No. 24051642  
Ty Doyle  
Federal Bar No. 1373873  
State Bar No. 24072075  
Anthony J. Phillips  
Federal Bar No. 1123515  
State Bar No. 24094089  
Alexander M. Wolf  
Federal Bar No. 2470631  
State Bar No. 24095027  
700 Louisiana, Suite 2300  
Houston, Texas 77002  
(713) 221-2300 (phone)  
(713) 221-2320 (fax)  
lkaplan@skv.com  
dball@skv.com  
tydoyle@skv.com  
aphillips@skv.com  
awolf@skv.com

**ATTORNEYS FOR PLAINTIFFS  
HARVEST NATURAL RESOURCES, INC.  
AND HNR ENERGIA B.V**

**CERTIFICATE OF SERVICE**

I hereby certify that all counsel of record who are deemed to have consented to electronic service are being served with a copy of this document via the Court's CM/ECF system per Local Rule 5.1 on the 7th day of March, 2018.

/s/ Alex Wolf  
Alexander Wolf

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

HARVEST NATURAL RESOURCES, INC., §  
and HNR ENERGIA B.V. §

*Plaintiffs* §

v. §

JUAN JOSE MENDOZA GARCIA, *et al.* §

*Defendants.* §

CIVIL ACTION: 4:18-cv-00483

**PLAINTIFFS' NOTICE OF VOLUNTARY  
DISMISSAL OF DEFENDANT AZURE 406 LLC**

Pursuant to Federal Rule of Civil Procedure 41(a)(1)(A)(i), plaintiffs Harvest Natural Resources, Inc. and HNR Energia B.V., hereby give notice that Defendant Azure 406 LLC is voluntarily dismissed, without prejudice. As of the date of the filing of this Notice of Voluntary Dismissal, Defendant Azure 406 LLC has not filed an answer or a motion for summary judgment.

Respectfully Submitted,

**SMYSER KAPLAN & VESELKA, L.L.P.**

/s/ Lee Kaplan

Lee L. Kaplan  
Attorney-in-Charge  
Federal Bar No. 1840  
State Bar No. 11094400  
Dane Ball  
Federal Bar No. 784400  
State Bar No. 24051642  
Ty Doyle  
Federal Bar No. 1373873  
State Bar No. 24072075  
Anthony J. Phillips  
Federal Bar No. 1123515  
State Bar No. 24094089  
Alexander M. Wolf  
Federal Bar No. 2470631  
State Bar No. 24095027  
700 Louisiana, Suite 2300  
Houston, Texas 77002  
(713) 221-2300 (phone)  
(713) 221-2320 (fax)  
lkaplan@skv.com  
dball@skv.com  
tydoyle@skv.com  
aphillips@skv.com  
awolf@skv.com

**ATTORNEYS FOR PLAINTIFFS  
HARVEST NATURAL RESOURCES, INC.  
AND HNR ENERGIA B.V**

**CERTIFICATE OF SERVICE**

I hereby certify that all counsel of record who are deemed to have consented to electronic service are being served with a copy of this document via the Court's CM/ECF system per Local Rule 5.1 on the 8th day of March, 2018.

/s/ Alex Wolf  
Alexander Wolf